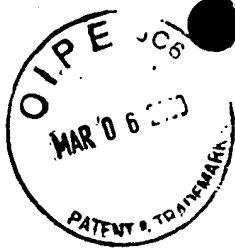


*Section 4*



Attorney Docket No. BSIL-110CP  
56273-018

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Benjamin Hewitt Smith and Fred Hewitt Smith  
Serial No: 09/441,403  
Filing Date: November 16, 1999  
Title: SYSTEM AND METHOD FOR INSTALLING AN AUDITABLE  
SECURE NETWORK  
Art Unit 2782

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Office of Initial Patent Examination's Customer Service Center, Washington, DC 20231.

Date: February 29, 2000

*Diane Valeta*  
Diane Valeta

BOX MISSING PARTS  
ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, DC 20231

Transmitted herewith for filing are the following documents:

- ☒ Request for Correction to Filing Receipt
- ☒ Notice to File Missing Parts
- ☒ Declaration for Patent Application
- ☒ Verified Statement Claiming Small Entity Status

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (617) 535-4037 Boston, MA.

A \$65.00 check is enclosed for the surcharge set forth in 37 CFR 1.16(e) for a small entity in compliance with 37 CFR 1.27. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1133.

Respectfully submitted,

By *David M. Mello*  
David M. Mello, Reg. No. 43,799  
McDERMOTT, WILL & EMERY  
28 State Street  
Boston, MA 02109  
Tel (617) 535-4037  
Fax (617) 535-3800

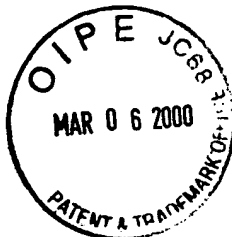
Date: February 29, 2000

BST99 1106736-1.056273.0018

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Benjamin Hewitt Smith and Fred Hewitt Smith  
Serial No: 09/441,403  
Filing Date: November 16, 1999  
Title: **SYSTEM AND METHOD FOR INSTALLING AN AUDITABLE  
SECURE NETWORK**

Assistant Commissioner For Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



Sir:

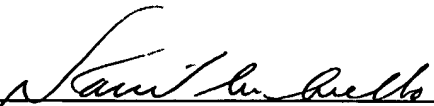
**REQUEST FOR CORRECTION TO FILING RECEIPT**

Please make corrections as indicated in red on the enclosed copy:

- (1) Please correct the title. The title should be: **SYSTEM AND METHOD FOR  
INSTALLING AN AUDITABLE SECURE NETWORK**
- (2) Please correct the filing date of Continuing Data Provisional Application No. 60/108,868 to 11/18/99. Enclosed are copies of a Request for Correction to Filing Receipt in Provisional Application No. 60/108,868, requesting that the Filing Date be changed to 11/18/99.
- (3) Please change the applicants' correspondence address as follows: Mark G. Lappin, McDermott, Will & Emery, 28 State Street, Boston, MA 02109.

Thank you for your attention to this matters. If you have any questions, please do not hesitate to call me at 617-535-4037.

Respectfully submitted,

By:   
David M. Mello, Reg. No. 43,799  
McDERMOTT, WILL & EMERY  
28 State Street  
Boston, MA 02109  
Tel (617) 535-4037

Date: February 29, 2000

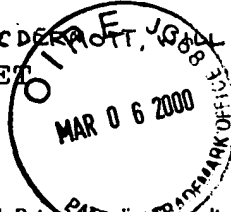
FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/441,403	11/16/99	2782	\$848.00	BSIL-110CP	28	59	6

MARK G LAPPIN  
LAPPIN & KUSMER LLP MCDEEROTT, J & C  
28, TWO HUNDRED STATE STREET  
BOSTON MA 02109



RECEIVED  
JAN - 3 2000

MW&E

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) BENJAMIN HEWITT SMITH, BELMONT, MA; FRED HEWITT SMITH, BELMONT, MA.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CIP OF 08/689,767 08/13/96  
PROVISIONAL APPLICATION NO. 60/108,566 11/16/98  
PROVISIONAL APPLICATION NO. 60/108,868 ~~11/17/98~~ 11/18/98  
PROVISIONAL APPLICATION NO. 60/121,959 02/25/99

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 12/29/99 \*\* SMALL ENTITY \*\*  
TITLE

SYSTEM AND METHOD FOR ~~DISTRIBUTING SOFTWARE OVER A NETWORK~~  
INSTALLING AN AUDITABLE SECURE NETWORK

PRELIMINARY CLASS: 710

DOCKETED

1/13/00 Correct Filing Receipt

DATA ENTRY BY: WARREN, EFREM

TEAM: 01 DATE: 12/29/99

\*\*\*\*\*

(See reverse for new important information)

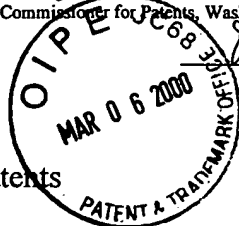
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Benjamin Hewitt Smith and Fred Hewitt Smith  
Serial No. 60/108,868  
Filed: November 18, 1998  
Title: SYSTEM AND METHOD FOR DISTRIBUTING SOFTWARE OVER  
A NETWORK

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Post Office on the date shown below with sufficient postage at First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

1-14-00  
Date



Diane Valeta  
Diane Valeta

BOX PROVISIONAL  
Assistant Commissioner for Patents  
Washington, D.C. 20231

REQUEST FOR CORRECTION TO FILING RECEIPT

Please correct the Filing Receipt for the above-referenced application as indicated in red on the enclosed copy. In particular, please change the filing date from November 17, 1998 (11/17/98) to November 18, 1998 (11/18/98). In support of this correction, please find attached a copy of the certificate of mailing under 37 CFR §1.10 dated 11/18/98, and a copy of Express Mail Receipt EL024413412US with November 18, 1998 postmark.

Applicants believe that the Patent Office incorrectly dated this disclosure November 17, 1998, instead of November 18, 1998. Applicants became aware of the incorrect date while establishing priority dates in subsequent international filings.

Also, please change the applicants' correspondence address as follows: Mark G. Lappin, McDermott, Will & Emery, 28 State Street, Boston, MA 02109.

Thank you for your attention to this matter. If you have any questions, please do not hesitate to call me at 617-535-4037.

Respectfully submitted,

David M. Mello

David M. Mello, Reg. No. 43,799  
McDermott, Will & Emery  
28 State Street  
Boston, MA 02109  
Telephone: (617) 535-4037  
Facsimile: (617) 535-3800

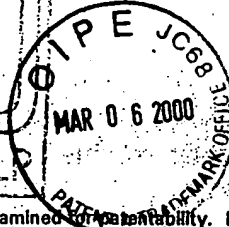
PROVISIONAL APPLICATION  
FILING RECEIPT  
CORRECTEDUNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS
60/108,868	11/17/98 11/18/98	\$75.00	BSIL-113PR	18

MARK G LAPPIN

~~LAPPIN & KUSMER~~ *M ODERMOTT WILL & EMERY*  
~~200 STATE STREET 28 STATE STREET~~ APR 14 1999  
BOSTON MA 02109

LAPPIN &amp; KUSMER LLP



Receipt is acknowledged of this Provisional Application. This Provisional Application will not be examined for patentability. Be sure to provide the PROVISIONAL APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to Box Provisional Application within 10 days of receipt. Please provide a copy of the Provisional Application, Filing Receipt with the changes noted thereon. This Provisional Application will automatically be abandoned twelve (12) months after its filing date and will not be subject to revival to restore it to pending status beyond a date which is after twelve (12) months from its filing date.

Applicant(s) BENJAMIN H. SMITH, BELMONT, MA; FRED H. SMITH, BELMONT, MA.

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 12/14/98 \*\* SMALL ENTITY \*\*  
TITLE  
SYSTEM AND METHOD FOR DISTRIBUTING SOFTWARE OVER A NETWORKDOCKETED  
PCM

Correction to Filing Receipt 4/24/99

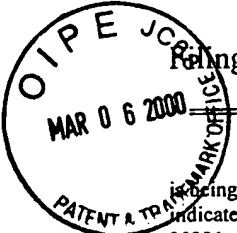
DATA ENTRY BY: YON, LOWUAN

TEAM: 05 DATE: 04/09/99

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

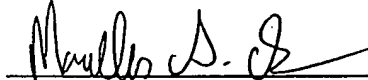
Applicant(s): Benjamin Hewitt Smith, et al.  
Title: SYSTEM AND METHOD FOR DISTRIBUTING SOFTWARE OVER  
A NETWORK  
Filing Date: November 18, 1998



CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.10

"Express Mail" Mailing Label Number EL024413412US I hereby certify that this paper and other papers enclosed herewith or fee being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to BOX PROVISIONAL PATENT APPLICATION, Assistant Commissioner for Patents, Washington, DC 20231.

11-18-98  
Date

  
Marcellus G. Green

BOX PROVISIONAL PATENT APPLICATION

Assistant Commissioner for Patents  
Washington, DC 20231

Sir: TRANSMITTAL LETTER

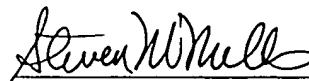
Enclosed herewith for filing in the above-identified patent application please find the following listed items:

1. Provisional Application Cover Sheet;
2. Thirty (30) Pages of Specification;
3. Eighteen (18) Sheets of Drawings;
4. Check in the amount of \$150.00 to cover requisite fee; and
5. Return Postcard.

In connection with the foregoing matter, please charge any additional fees which may be due, or credit any overpayment, to Deposit Account Number 12-0448. A duplicate copy of this letter is provided for this purpose.

Respectfully submitted,

LAPPIN & KUSMER LLP



Steven M. Mills  
Registration Number 36,610  
Attorney for Applicants

Date: November 17, 1998  
Two Hundred State Street  
Boston, MA 02109  
Telephone: (617) 330-1300  
Facsimile: (617) 330-1311

EL024413412US



EL024413412US

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Time In <input type="checkbox"/> AM <input type="checkbox"/> PM	Military <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day	Return Receipt Fee
Weight lbs. ozs.	Int'l Alpha Country Code	COD Fee Insurance Fee
NO Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Acceptance Clerk Initials	Total Postage & Fees \$

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Mo. Day		
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Mo. Day		
Signature of Addressee or Agent		
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X		

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PHONE ( )

BSIL-113PR

Steven M. Mills, Esq.  
LAPPIN & KUSMER LLP  
200 STATE STREET  
BOSTON MA 02107

TO: (PLEASE PRINT)

PHONE ( )

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ASSISTANT COMMISSIONER  
FOR PATENTS  
WASHINGTON DC 20231-

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NO Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Acceptance Clerk Initials	Total Postage & Fees \$

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Express Mail Corporate Acct. No.

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☐ WAIVER OF SIGNATURE (Domestic Only): Additional merchandise insurance is void if waiver of signature is requested. I wish delivery to be made without obtaining signature of addressee or addressee's agent (if delivery employee judges that article can be left in secure location) and I authorize that delivery employee's signature constitutes valid proof of delivery.

NO DELIVERY ☐ Weekend ☐ Holiday

Customer Signature

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PHONE ( )

BSIL-113PR

Steven M. Mills, Esq.

TO: (PLEASE PRINT)

PHONE ( )

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## UNITED STATES DEPARTMENT OF COMMERCE

## Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/441,403	11/16/99	SMITH	B

MARK G LAPPIN  
LAPPIN & KUSMER LLP  
TWO HUNDRED STATE STREET  
BOSTON MA 02109

0212/1230

NOT ASSIGNED

DATE MAILED: 2782

12/30/99

## NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☒ small entity (statement filed) ☐ non-small entity is \$ 65

☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☒ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

CURSOR 0000010 09441403 65.00 DP